



**M. B. PARIKH  
FINSTOCKS  
LIMITED**

Regd Office : 705, Galav Chambers, Sayajigani,  
Vadodara-390 005. • Tel. (0265) 236 2909

CINNo. : L65910GJ1994PLC021759

Date: 16<sup>th</sup> April 2018

To  
Dept. of Corporate Services,  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai – 400 001

**Scrip Code: 526935**

Dear Sir,

**Sub: Regulation 40(9) of Securities and Exchange Board of India (Listing  
Obligations and Disclosure Requirements) Regulations, 2015**

With reference to above, we are enclosing herewith the Certificate received from Sanjay Dholakia & Associates, Practising Company Secretary for the half year ended 31.03.2018 as required under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

You are requested to take the same on your record.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,

For M. B. Parikh Finstocks Limited

Mittal Gori  
Company Secretary & Compliance Officer



Encl: As above



# SANJAY DHOLAKIA & ASSOCIATES

BCOM LLB FCS

COMPANY SECRETARIES

GP 15, 2nd Floor, Raghuleela Mall, Behind Poincur Bus Depot, Kandivali (West), Mumbai - 400 067.  
☎ : 2807 3233 / 62363233 / 98700 31365 • E-mail : sanjayrd65@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH 2018

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M B PARIKH FINSTOCKS LIMITED a Company registered under Companies Act, 1956 having its registered office situated at 705, Galav Chambers Sayajgunj, Baroda - 390005 maintained by M/s. Link Intime India Private Limited having its office at C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai-400083 pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> October 2017 to 31<sup>st</sup> March, 2018 for the purpose of issuing a Certificate as per Regulation 40 (9) of the Listing Regulations entered into by, to M B Parikh Finstocks Limited with the stock exchanges were the shares of the Company are listed and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 31<sup>st</sup> March 2018:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> October 2017 to 31<sup>st</sup> March, 2018 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer from respective date of lodgment of each deed excepting those rejected on technical grounds and there were no requests for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during this period.

For SANJAY DHOLAKIA & ASSOCIATES

*Dholakia Seja*

(SANJAY DHOLAKIA)

Practising Company Secretary

Proprietor

Date: 16<sup>th</sup> April, 2018

Place: Mumbai

